

AO 440 (Rev. 06/12) Summons in a Civil Action

# UNITED STATES DISTRICT COURT

for the

\_\_\_\_\_ District of \_\_\_\_\_

\_\_\_\_\_  
*Plaintiff(s)*

v.

Civil Action No. \_\_\_\_\_

\_\_\_\_\_  
*Defendant(s)*

## SUMMONS IN A CIVIL ACTION

To: *(Defendant's name and address)*

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

Date: \_\_\_\_\_



CLERK OF COURT  
Susan Y. Soong

*Felicia Brown*

Felicia Brown

Signature of Clerk or Deputy Clerk

Civil Action No. \_\_\_\_\_

**PROOF OF SERVICE***(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))*

This summons for *(name of individual and title, if any)* \_\_\_\_\_  
 was received by me on *(date)* \_\_\_\_\_ .

☐ I personally served the summons on the individual at *(place)* \_\_\_\_\_  
 \_\_\_\_\_ on *(date)* \_\_\_\_\_ ; or

☐ I left the summons at the individual's residence or usual place of abode with *(name)* \_\_\_\_\_  
 \_\_\_\_\_ , a person of suitable age and discretion who resides there,  
 on *(date)* \_\_\_\_\_ , and mailed a copy to the individual's last known address; or

☐ I served the summons on *(name of individual)* \_\_\_\_\_ , who is  
 designated by law to accept service of process on behalf of *(name of organization)* \_\_\_\_\_  
 \_\_\_\_\_ on *(date)* \_\_\_\_\_ ; or

☐ I returned the summons unexecuted because \_\_\_\_\_ ; or

☐ Other *(specify)*: \_\_\_\_\_ .

My fees are \$ \_\_\_\_\_ for travel and \$ \_\_\_\_\_ for services, for a total of \$ \_\_\_\_\_ .

I declare under penalty of perjury that this information is true.

Date: \_\_\_\_\_

\_\_\_\_\_  
*Server's signature*

\_\_\_\_\_  
*Printed name and title*

\_\_\_\_\_  
*Server's address*

Additional information regarding attempted service, etc:

**ATTACHMENT A**

**PLAINTIFFS**

SABRINA CLAPP and DENISE REDFIELD, individually and on behalf of others similarly situated,

**DEFENDANTS**

1. Alpaca Securities LLC  
c/o John Torola  
20 N. San Mateo Drive Ste 10  
San Mateo, California 94401
2. Apex Clearing Corporation  
c/o National Registered Agents, Inc.  
28 Liberty Street  
New York, New York 10005
3. Barclays Bank PLC  
c/o Barclays Bank PLC  
745 Seventh Avenue  
New York, New York 10019
4. Cash App Investing LLC  
c/o National Registered Agents, Inc.  
1209 Orange Street  
Wilmington, Delaware 19801
5. Charles Schwab & Co. Inc.  
c/o CT Corporation System  
818 West Seventh Street, Suite 930 |  
Los Angeles, California 90017
6. Citadel Enterprise Americas, LLC  
c/o Corporation Trust Company  
Corporation Trust Center  
1209 Orange Street  
Wilmington, Delaware 19801

7. Citadel Securities LLC  
c/o Corporation Trust Company  
Corporation Trust Center  
1209 Orange Street  
Wilmington, Delaware 19801
8. dough LLC  
c/o Cogency Global, Inc.  
850 New Burton Road, Suite 210  
Dover, Delaware 19904
9. eToro USA Securities Inc.  
c/o Corporation Service Company  
251 Little Falls Drive  
Wilmington, DE 19808
10. E\*Trade Financial Corporation  
c/o Corporation Service Company  
100 Shockoe Slip Fl 2  
Richmond, Virginia 23219
11. E\*TRADE Financial Holdings, LLC  
c/o Corporation Service Company  
100 Shockoe Slip Fl 2  
Richmond, Virginia 23219
12. E\*TRADE Securities LLC  
c/o Corporation Service Company  
135 East 57th Street  
New York, New York 10022
13. FF Trade Republic Growth, LLC  
c/o CT Corporation System  
818 West Seventh Street, Suite 930  
Los Angeles, California 90017
14. Freetrade LTD  
32-38 Leman Street  
London, E1 8EW  
United Kingdom

15. Fumi Holdings, Inc.  
c/o Business Filings Incorporated  
108 West 13th Street  
Wilmington New Castle, Delaware 19801
16. IG Group Holdings PLC  
c/o IG US Holdings, Inc.  
311 South Wacker, Suite 2650  
Chicago, Illinois 60606
17. Interactive Brokers LLC  
One Pickwick Plaza  
Greenwich, Connecticut 06830
18. M1 Finance, LLC  
200 N Lasalle Street, Suite 800  
Chicago, Illinois 60601
19. Melvin Capital Management LP  
c/o Corporation Service Company  
251 Little Falls Drive  
Wilmington, Delaware 19808
20. Morgan Stanley Smith Barney LLC  
c/o CT Corporation System  
28 Liberty Street  
New York, New York 10005
21. Open to the Public Investing, Inc.  
c/o T3 Securities, Inc.  
1 State Street Plaza, 10th Floor  
New York, New York, 10004
22. Robinhood Financial LLC  
c/o Incorporating Services, Ltd.  
7801 Folsom Blvd. #202  
Sacramento, California 95826
23. Robinhood Markets, Inc.  
c/o Incorporating Services, Ltd.  
7801 Folsom Blvd. #202  
Sacramento, California 95826

24. Robinhood Securities, LLC  
c/o Incorporating Services, Ltd.  
7801 Folsom Blvd. #202  
Sacramento, California 95826
25. Sequoia Capital Operations LLC  
c/o CT Corporation System  
818 West Seventh Street, Ste 930  
Los Angeles, California 90017
26. Square Inc.  
c/o CT Corporation System  
818 West Seventh Street, Suite 930  
Los Angeles, California 90017
27. Stash Financial, Inc.  
c/o Corporation Service Company  
251 Little Falls Drive  
Wilmington, Delaware 19808
28. Tastyworks, Inc.  
c/o Cogency Global, Inc.  
850 New Burton Road, Suite 210  
Dover, Delaware 19904
29. TD Ameritrade, Inc.  
c/o Incorporating Services Ltd.  
5601 S. 59th St., Suite C,  
Lincoln, Nebraska 68516
30. The Charles Schwab Corporation  
c/o CT Corporation System  
818 West Seventh Street, Suite 930  
Los Angeles, California 90017
31. The Depository Trust Company  
c/o CT Corporation System  
28 Liberty Street  
New York, New York 10005
32. Trading 212 Ltd.  
3 Lachezar Stanchev Str., Litex Tower, Floor 10,  
Sofia 1797, Bulgaria

33. Trading 212 UK Ltd.  
107 Cheapside  
London EC2V 6DN, United Kingdom

34. Webull Financial LLC  
c/o Business Filings Inc.  
108 West 13th Street  
Willimington, Delaware 19801